

Evaluating Design Choices in Verifiable Generation with Open-source Models

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Abstract

Verifiable generation is introduced to improve the transparency and trustworthiness of outputs produced by large language models (LLMs). Recent studies observe that open-source models struggle to include accurate citations to supporting documents in their generation with in-context learning, in contrast to the strong performance demonstrated by proprietary models. Our work aims to reveal the critical design choices that can benefit open-source models, including generation pipelines, fine-tuning methods, and inference-time compute techniques. We consider three generation pipelines, producing the outputs directly or decomposing the generation into subtasks. These generation pipelines are fine-tuned using supervised fine-tuning and preference-based optimization including further fine-tuning with rejection sampling data and direct preference optimization (DPO). The construction of preference data with varying content and citation diversity is also investigated. Additionally, we examine the benefit of an additional reranking step. With four open-source models, our experiments show that directly generating the outputs achieves the best performance. Compared to other fine-tuning methods, DPO that computes training signals from contrastive pairs consistently yields better performance, and it reaches the peak performance when the contrastive pairs are constructed with sufficient content diversity. We also find that reranking can further boost the performance of verifiable generation systems, but the marginal improvement might not justify the additional cost.

1 Introduction

Verifiable generation, a generation paradigm where large language models (LLMs) are required to produce outputs along with citations to supporting documents, has gained increased attention for its potential to enhance user trust in the model responses (Liu et al., 2023; Huang and Chang, 2024).

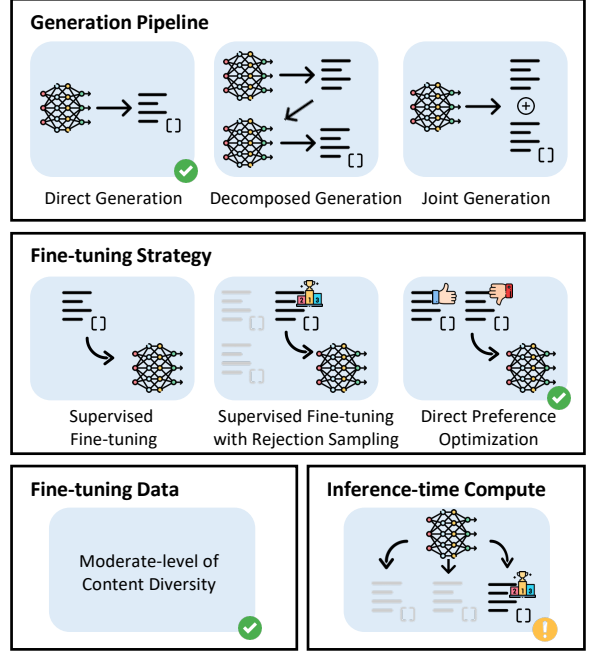


Figure 1: Illustration of our findings. To effectively employ medium-size open-source LLMs for verifiable generation, we suggest using the direct generation pipeline fine-tuned with DPO on samples that are sufficiently diverse in content. Though reranking over-generated samples during inference time can further increase output quality, the gain is limited.

By allowing users to verify the generated content against cited sources, this approach not only enhances reliability but also facilitates access to additional relevant information. The paradigm has been incorporated into online services like Google and Bing Chat that are powered by proprietary models such as Gemini (Team et al., 2024) and GPT-4o (OpenAI et al., 2024).

Nevertheless, prior studies have demonstrated that *open-source* LLMs struggle to generate high-quality citations compared to proprietary models (Gao et al., 2023b), limiting their practical application. To address this gap, recent research has explored methods such as gathering citation-rich

data (Cao and Wang, 2024) and incorporating human preference data for fine-tuning (Huang et al., 2024). However, the scope of these investigations remains narrow, as they cover only a limited number of backbone LLMs, fine-tuning methods, and approaches to verifiable generation.

Our study systematically investigates the design considerations for verifiable generation using *medium-size open-source* LLMs. Specifically, we examine three crucial components: the structure of generation pipelines, the selection of fine-tuning strategies, and the construction of preference data.

Various approaches exist for generating outputs with citations. The simplest method is *direct generation*, where a model produces both content and citations in a single step. Alternatively, the task can be *decomposed* into two sequential steps handled by two separate models: content generation followed by citation generation. To further enhance citation quality, we introduce a hybrid *joint* pipeline, where the model first generates a response without citations, then revises it by incorporating citations within the same inference run.

Fine-tuning plays a crucial role in enhancing verifiable generation capabilities, especially for medium-size open-source LLMs. Starting with supervised fine-tuning using existing data, we collect preference data and perform further supervised fine-tuning on the most preferred samples (Nakano et al., 2022). Alternatively, we use direct preference optimization (DPO) on pairs of preferred and rejected samples (Rafailov et al., 2023). Both methods rely on preference data, collection of which is important to effectiveness of fine-tuning. Therefore, we construct preference data of various diversity in content and citations and study its impacts. We further explore the benefits of inference-time compute (Snell et al., 2024) by adding a scoring and reranking step upon over-generated model outputs.

We conduct experiments on SCIFI, a citation-rich dataset (Cao and Wang, 2024), and ALCE, a question-answering dataset with retrieved documents for benchmarking verifiable generation (Gao et al., 2023b). The backbone models include Llama-3.1 (Grattafiori et al., 2024), Mistral-Nemo (AI, 2024), Qwen-2.5 (Team, 2024), and Phi-3.5 (Abdin et al., 2024). Models are fine-tuned on SCIFI and tested on ALCE as an out-of-domain dataset. Our findings, as illustrated in Figure 1, indicate that:

1. Direct generation of outputs with citations outperforms pipelines that decompose the task into content generation and citation generation;
2. Fine-tuning on preference data of moderate content diversity with DPO yields the best-performing model and consistently improves the citation quality measured by the entailment level between the citation text and cited sources;
3. Reranking over-generated outputs consistently improves the fine-tuned generation pipelines, while the improvement is marginal for the top fine-tuned models.

2 Related Work

Verifiable Generation. Early exploration of large language models (LLMs) for verifiable generation trains LLMs to learn citation generation behaviors (Nakano et al., 2022). Recent advancements in LLM pre-training, instruction-tuning, and alignment have enabled prompting with human instructions to generate outputs with citations directly (Gao et al., 2023b), although the generated citations might not always be accurate. The intricacies of verifiable generation inspire a modular approach, where dedicated modules are employed for generating content and identifying supporting documents, respectively (Gao et al., 2023a). While more sophisticated systems can incorporate additional processes such as verification and regeneration to enhance citation quality (Sun et al., 2024), our work focuses on studying pipelines that generate final outputs either directly or in two steps, which is *orthogonal to the design of more complex systems* and can serve as the generation module for those systems.

Most existing verifiable generation systems rely on the citation generation capability of powerful backbone LLMs activated with instructions (Liu et al., 2023). For less capable models, fine-tuning with human-annotated (Menick et al., 2022) or web-sourced data (Cao and Wang, 2024) is essential to achieve comparable performance. Huang et al. (2024) propose warming up open-source LLMs with samples distilled from large proprietary models and using evaluation metrics to guide the construction of training samples for reinforcement learning. Our experiments similarly utilize preference data labeled with automatic metrics, though

we verify the effectiveness of additional training data for various verifiable generation pipelines, with the training data constructed using different strategies under the same labeling budget.

Preference-based Optimization. Early work has aligned LLMs with human preference by training reward models using pairwise preference data and employing reinforcement learning (Ouyang et al., 2022). To circumvent the computational expenses associated with reward models in the learning algorithm (Schulman et al., 2017), Zhao et al. (2023) consider directly learning with contrastive loss on pairwise preference data. Rafailov et al. (2023) further introduce direct preference optimization (DPO), based on a mapping between reward functions and optimal policies, to align LLMs with human preference without reward models.

3 Verifiable Generation

In this section, we first introduce the candidate pipelines for verifiable generation (§3.1). Following the introduction of these pipelines, we discuss the strategies for fine-tuning models to enhance their performance and the methods for collecting training samples (§3.2). Lastly, we investigate the techniques that leverage inference-time compute (§3.3).

Task Formulation. We adhere to the task formulation outlined by (Gao et al., 2023b). Specifically, a system is given a query q and a set of candidate cited sources $\mathcal{D} = \{d_1, \dots, d_M\}$, where M denotes the total number of candidate cited sources. Each cited source d_i can be either a text passage or an entire document, depending on the dataset. To process the lengthy aggregation of \mathcal{D} , we provide each system with summarized versions of the documents. We leave the exploration of long-context processing techniques to future research, as using summarized documents achieves comparable performance to enabling truncation or more sophisticated methods such as interactive lookup of full documents (Gao et al., 2023b).

Typically, verifiable generation systems indicate citations in their outputs with square brackets that enclose indices of cited sources (e.g., [1]). We denote the system output as $y = [y_1, \dots, y_L]$ and define this output format by treating y_i as a tuple comprising a text token and a set of indices $\mathcal{C}_i = \{c_{i,1}, \dots\}$, which point to the supporting documents. L represents the total number of text

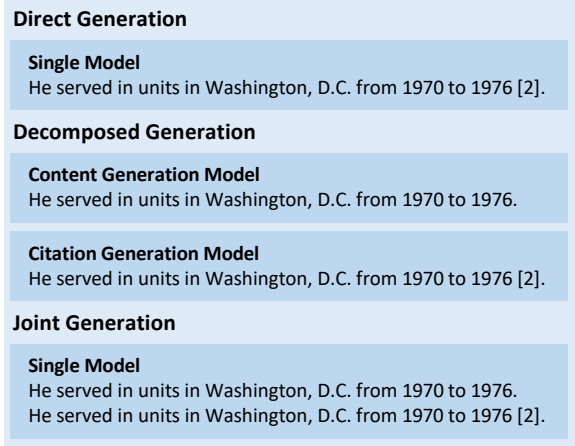


Figure 2: The generation pipelines examined in this study. Decomposed generation employs two separate models for content generation and citation generation. In contrast, both direct generation and joint generation utilize single models. While joint generation also decomposes verifiable generation, it performs the subtasks in a single pass.

tokens. For instance, a generated span “*British Empire* [3]” corresponds to the tuples (“British”, { }) and (“Empire”, {3}).

3.1 Generation Pipelines

A generation pipeline outlines the process for deriving the final output y , as illustrated in Figure 2. We abstract each pipeline using formulations, with detailed templates and instructions provided in Appendix C.5.

Direct Generation. Direct generation treats the composition of responses with citations as an inherent ability of LLMs and leverages this capability to generate the final output in a single stage. Formally, $y = f(q, \mathcal{D})$, where f is an LLM. Additionally, f is supplied with instructions, which are omitted in the formulation for simplicity in this paper.

Decomposed Generation. Decomposed generation separates verifiable generation into two distinct steps—content generation and citation generation—employing a different model for each step. This separation enables dedicated optimization for each step. During content generation, an intermediate output without citation, denoted as \bar{y} , is produced as $\bar{y} = f_1(q, \mathcal{D})$, where $\mathcal{C}_i = \emptyset, \forall \bar{y}_i$. The intermediate output is then processed by a separate LLM specialized in citation generation to obtain the final output: $y = f_2(q, \bar{y}, \mathcal{D})$. Decomposed generation can be viewed as a post-hoc attribution method. Unlike traditional post-hoc attribution

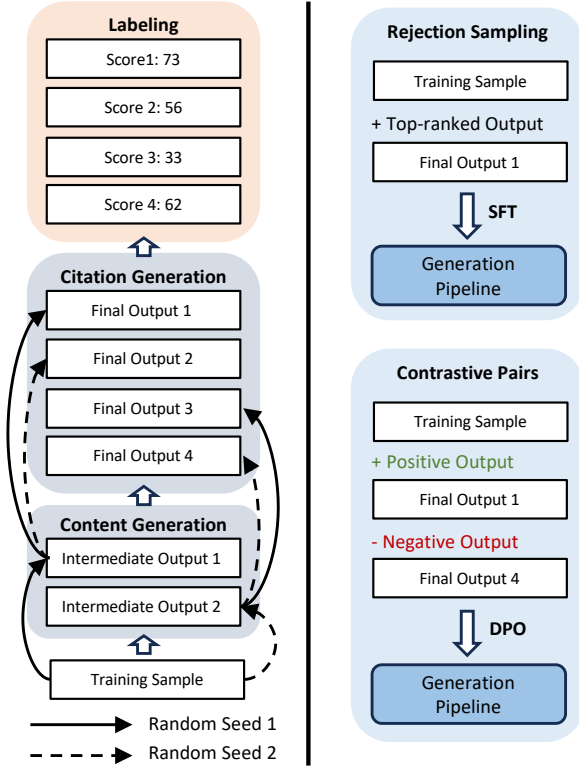


Figure 3: Left: The preference data construction process. Right: The studied preference-based optimization methods. We show an example of using two distinct random seeds for content generation and two distinct random seeds for citation generation, creating four final outputs in total.

methods that rely on pairwise similarity measures (e.g., entailment scores (Huo et al., 2023; Chen et al., 2023)), decomposed generation takes a generative approach and eliminates the need to iterate over all candidate cited sources individually.

Joint Generation. In decomposed generation, the content generation LLM is not explicitly required to establish connections between source documents and the intermediate output. This limitation can result in less grounded outputs and constrain the performance of the citation generation module. We propose a hybrid approach that combines direct and decomposed generation, where both intermediate and final outputs are generated sequentially in a single pass: $[\bar{y}; y] = f(q, \mathcal{D})$. $[\cdot; \cdot]$ denotes the concatenation of two sequences. By maintaining awareness of the requirements for the final output, the LLM can enforce stronger groundedness for \bar{y} while employing different skills to generate both outputs.

3.2 Fine-tuning Strategies

For each generation pipeline, we first conduct supervised fine-tuning on the training set of the experimented dataset. The reference output y is provided by the dataset, and we obtain \bar{y} by removing all citation notations from y . During fine-tuning, the loss is computed across all output tokens for each model. Based on the supervised fine-tuned models, we collect preference data to further enhance them with preference-based optimization methods.

Preference Data Sampling. To collect preference data, the common practice involves sampling outputs from supervised fine-tuned models and annotating them using human efforts or automatic evaluators (Stiennon et al., 2020; Lee et al., 2024). For cost-effective data collection, it is critical to produce and select outputs that are more beneficial for model enhancement to be annotated. To this end, our paper investigates the effect of using data with varying degrees of diversity in content and citations. Specifically, for each training sample, we generate outputs using the supervised fine-tuned decomposed generation pipeline, where multiple intermediate outputs are sampled from the content generation module using different random seeds. Subsequently, different citations are inserted into each intermediate output by the citation generation module, also using different random seeds. For fair comparisons, the number of final sampled outputs across preference datasets created with different random seed combinations is kept constant, simulating a *fixed annotation budget*. Finally, each sampled output is assigned a content quality score, a citation quality score, and a combined overall quality score using the automatic evaluation metrics detailed in §4.

Preference-based Optimization. Given the labeled preference dataset, we consider continuing fine-tuning each generation pipeline with sampled outputs that have the best quality score, which resembles fine-tuning with data created by rejection sampling (Nakano et al., 2022).

For direct generation, we fine-tune the model using y^o , the sampled output with the highest overall quality score. For decomposed generation, we separately fine-tune the content and citation generation models. The content generation model is trained on \bar{y}^{con} , which is the sampled output with the highest content quality score after removing citations. The citation generation model is trained on y^{cit} ,

which represents the sampled output with the highest citation quality score. The training approach differs for the joint generation pipeline. Instead of computing the loss across all output tokens as in direct and decomposed generation, we employ a selective loss computation strategy. When training with $[\bar{y}^{con}; y^{con}]$ to enhance content generation, we minimize the loss only for tokens in \bar{y}^{con} while ignoring the loss for tokens in y^{con} . Similarly, when improving citation generation with $[\bar{y}^{cit}; y^{cit}]$, we compute the loss only for tokens in \bar{y}^{cit} while ignoring those in y^{cit} .

Beyond fine-tuning with top-ranked outputs alone, we explore learning from contrastive pairs using direct preference optimization (DPO) (Rafailov et al., 2023). Given pairs of positive and negative samples constructed from sampled outputs, DPO increases the difference between the generation probabilities of pairs of positive and negative samples, promoting the generation of positive samples while discouraging negative ones. To ensure stable model optimization, DPO additionally uses generation probabilities from a reference model as baselines.¹

For paired sampled outputs, we determine positive and negative samples by comparing their quality scores. Direct generation uses overall quality scores for comparisons, while decomposed and joint generation use content and citation quality scores for their respective optimization tasks. Similar to fine-tuning with rejection sampling data, for joint generation, we ignore the loss over tokens that are irrelevant to the task being optimized. To maintain a reasonable computational cost, each sampled output is included in only one pair, ensuring that all sampled outputs are covered while keeping the size of the fine-tuning samples manageable. Compared to rejection sampling, where models learn to imitate the most preferred output, DPO teaches models to differentiate between negative and positive outputs, aiming to avoid the generation of negative outputs.

3.3 Inference-time Compute

In addition to training-time techniques, we evaluate the effectiveness of scoring and reranking during inference. Specifically, an LLM-based scorer f_{eval} assesses a candidate output y' and produces two scores: $r_{y',a}$ and $r_{y',c}$. These scores, ranging from 1 to 5 on a Likert scale, measure the

quality of the answers and citations, respectively. The scoring process can be formally expressed as $[r_{y',a}, r_{y',c}] = f_{eval}(y', q, \mathcal{D})$. To train the scorer, we partition our preference data’s content quality and citation quality scores into 5 equally-sized bins. Each data point receives a Likert score based on its bin assignment.

During test time, we generate multiple outputs from each pipeline using different random seeds. The scorer then reranks these outputs to select the one that maximizes the sum of quality scores, expressed as: $y = \arg \max_{y' \in \mathcal{Y}} (r_{y',a} + r_{y',c})$, where \mathcal{Y} represents the set of generated outputs for reranking.

4 Experiment Setups

Datasets. We conduct experiments on SCIFI, a citation-rich dataset featuring subsentence-level citations sourced from Wikipedia (Cao and Wang, 2024). The training and test sets consist of 4,000 and 1,000 samples, respectively. For preference data collection, we sample model outputs on the training set of SCIFI.

To evaluate generalizability, we further test each generation pipeline on the ALCE dataset (Gao et al., 2023b). ALCE comprises three subsets of knowledge-intensive question-answering samples, each paired with retrieved text passages that serve as candidate cited sources. We select the ASQA and ELI5 subsets, which feature questions with natural language responses. These subsets contain 948 and 1,000 samples, respectively.

Evaluation Metrics. We evaluate citation quality by assessing the entailment level between each output statement and its corresponding cited source, in line with previous research (Rashkin et al., 2023). To decompose each model output into independent statements, we prompt Llama-3.1-8b (Grattafiori et al., 2024) with in-context examples. The cited documents, indicated by square brackets enclosing their indices, are then assigned to the output statements based on the heuristic rules outlined in prior work (Cao and Wang, 2024). Finally, we use an off-the-shelf NLI model (Honovich et al., 2022) to estimate the entailment level between output statements and their corresponding cited sources. Details of the evaluation metrics are provided in Appendix A.

The evaluation of content quality differs across datasets. For SCIFI, we calculate the precision of statements by averaging the scores of the generated

¹The supervised fine-tuned models serve as reference models in this paper.

statements entailing the reference, and the recall of statements by averaging scores of the reference statements entailing the generated output. The overall content quality is then determined by calculating the F1 score based on the precision and recall. For ALCE, we follow Gao et al. (2023b) and compute the recall of answer words and statements as the measure of content quality.

Additionally, we consider combining the two quality metrics into a single metric for SCIFI. Specifically, when calculating the precision of the generated statements in the content quality metric, we adjust the entailment level between each output statement and the reference by multiplying it with the entailment level between the output statement and its corresponding cited source.

Model Setups and Comparisons. We conduct experiments with four open-source LLMs containing around 10B parameters: Llama-3.1-8B (Grattafiori et al., 2024), Mistral-Nemo (12B) (AI, 2024), Phi-3.5-mini (4B) (Abdin et al., 2024), and Qwen-2.5-7B (Team, 2024). For all models, we take their variants that have been aligned with human feedback.

For preference-based optimization, we consistently sample 8 outputs per training instance across all configurations for data collection, yielding 32,000 samples in total. Four configurations are considered for allocating the sampling budget. In each configuration, we generate 1, 2, 4, or 8 outputs during the citation generation step, corresponding to 8, 4, 2, or 1 intermediate outputs from the content generation step, respectively. Due to the high computational cost, we experiment with these configurations using only Llama-3.1-8B and apply the best-performing configuration to other LLMs.

In addition to the generation pipelines described in §3, we include an in-context learning (ICL) setup that performs direct generation by prompting the backbone LLMs with instructions and two demonstrations.

Training Details. We adopt LoRA (Hu et al., 2021) for model fine-tuning. The LoRA adapters are applied to all linear projection layers of each backbone LLM. We set the LoRA rank to 32 and use an α of 64. All systems are fine-tuned with supervised learning for 3 epochs on SCIFI and are further fine-tuned with rejection sampling or DPO for 1 epoch. We use an effective batch size of 16 and a learning rate of 10^{-5} . For computing infrastructure, we use 4 A40 GPU, each with 48GB of

Pipeline	Content	Citation	Combined
Llama-3.1-8B			
Direct	21.80	71.82	18.56
Decomposed	21.77	41.61	15.13
Joint	21.07	64.59	16.60
Mistral-Nemo			
Direct	23.08	72.02	19.25
Decomposed	22.86	60.06	18.20
Joint	22.75	61.49	17.81
Qwen-2.5-7B			
Direct	21.04	57.69	15.13
Decomposed	21.64	42.55	14.91
Joint	19.22	44.61	14.18
Phi-3.5-Mini			
Direct	16.59	43.27	12.07
Decomposed	17.00	37.04	11.32
Joint	16.93	41.61	12.12

Table 1: Performance of different generation pipelines on SCIFI. Results of the best-performing fine-tuning methods are reported. For each metric, the best result for each backbone LLMs is **bolded**.

memory during model training. During inference, we use a single A40 GPU. The average training time of each system is 10 hours for supervised fine-tuning, and 10 hours for further fine-tuning with preference-based optimization.

5 Results

5.1 Main Results

We first compare the performance of different generation pipelines, as shown in Table 1. **Direct generation achieves better or comparable combined quality compared to the other pipelines** across all four backbone LLMs. Despite dedicate fine-tuning for each subtask, decomposed generation consistently produces citations of the lowest quality, as the content generation stage lacks awareness of the citation task’s groundedness requirements. While joint optimization of content and citation generation enhances citation quality, this approach remains less effective than direct generation. We believe that direct generation benefits from its closer alignment with the pre-training text formats, as LLM pre-training increasingly emphasizes output verifiability, which is also evidenced by the performance improvements observed in newer generation models compared to older ones (results of Llama-2-7B and Llama-3-8B are in Table 6 of Appendix B).

Figure 4 presents the results for various fine-tuning strategies employed on different generation pipelines. **Systems fine-tuned with DPO consistently outperform others** across different back-

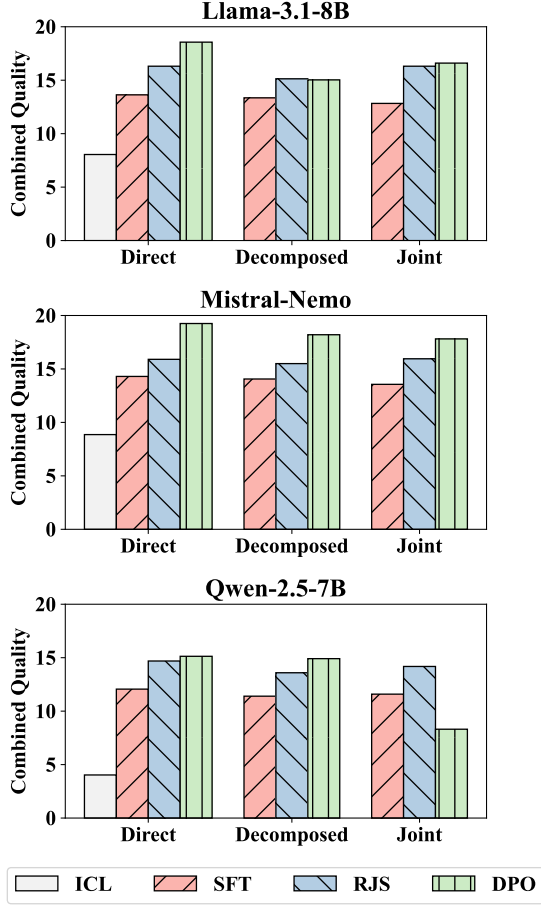


Figure 4: Performance of generation pipelines fine-tuned with different methods on SCIFI. ICL: in-context learning; SFT: vanilla supervised fine-tuning; RJS: supervised with rejection sampling data. Detailed results are in Appendix B.

bone LLMs and generation pipelines, with two exceptions: decomposed generation with Llama-3.1 and joint generation with Qwen-2.5. Unlike supervised fine-tuning with rejection sampling data that only learns from the best sampled outputs, DPO leverage contrastive pairs of sampled outputs, which effectively guides LLMs towards the desired behaviors by training LLMs to distinguish between higher and lower quality outputs. Notably, all fine-tuning methods significantly outperform in-context learning, highlighting the effectiveness of fine-tuning for open-source models.

5.2 Analysis of Preference Data Configurations

Different configurations for collecting preference data within the sampling budget are compared in Table 2. The notation “(Gen \times 4) \times (Cite \times 2)” indicates that the content generation model produces

Configuration	Content	Citation	Combined
Direct Generation + RJS			
(Gen \times 1) \times (Cite \times 8)	21.71	44.07	15.74
(Gen \times 2) \times (Cite \times 4)	21.84	44.73	15.97
(Gen \times 4) \times (Cite \times 2)	21.69	45.25	15.85
(Gen \times 8) \times (Cite \times 1)	22.23	45.90	16.31
Direct Generation + DPO			
(Gen \times 1) \times (Cite \times 8)	16.09	63.99	13.30
(Gen \times 2) \times (Cite \times 4)	21.08	76.16	18.17
(Gen \times 4) \times (Cite \times 2)	21.80	71.82	18.56
(Gen \times 8) \times (Cite \times 1)	20.96	50.65	12.55
Decomposed Generation + RJS			
(Gen \times 1) \times (Cite \times 8)	16.67	45.16	12.88
(Gen \times 2) \times (Cite \times 4)	18.22	47.14	14.17
(Gen \times 4) \times (Cite \times 2)	18.69	48.87	14.53
(Gen \times 8) \times (Cite \times 1)	21.77	41.61	15.13
Decomposed Generation + DPO			
(Gen \times 1) \times (Cite \times 8)	19.77	40.94	14.89
(Gen \times 2) \times (Cite \times 4)	19.12	52.79	15.03
(Gen \times 4) \times (Cite \times 2)	13.99	59.53	11.19
(Gen \times 8) \times (Cite \times 1)	20.29	49.10	13.94
Joint Generation + RJS			
(Gen \times 1) \times (Cite \times 8)	21.33	43.67	15.50
(Gen \times 2) \times (Cite \times 4)	21.83	44.62	15.88
(Gen \times 4) \times (Cite \times 2)	21.46	45.46	15.76
(Gen \times 8) \times (Cite \times 1)	22.23	45.49	16.31
Joint Generation + DPO			
(Gen \times 1) \times (Cite \times 8)	20.69	62.51	16.40
(Gen \times 2) \times (Cite \times 4)	21.07	64.59	16.60
(Gen \times 4) \times (Cite \times 2)	19.53	56.48	14.74
(Gen \times 8) \times (Cite \times 1)	18.08	19.93	5.77

Table 2: Performance of generation pipelines on SCIFI with different configurations for obtaining sampled outputs. All the systems are based on Llama-3.1-8B. For each generation pipeline and fine-tuning method, the best data configuration is **bolded**. For both optimization methods, using more than 1 intermediate output to generate final outputs with citations leads to better citation quality. The best configuration for each optimization method is applied to other backbone models in the main experiments.

4 intermediate outputs, and the citation generation model creates 2 outputs with citations for each intermediate output, resulting in 8 total final outputs. Our analysis reveals that maintaining **sufficient content diversity** among these sampled outputs is crucial. Configurations that allocate the entire budget to generating outputs with different citations do not achieve better citation quality compared to other configurations that allocate more budget for content diversity. For instance, after fine-tuning direct generation with DPO using 8 outputs comprising different citations and the same content, the system performs 17% worse than using outputs based on two distinct intermediate outputs.

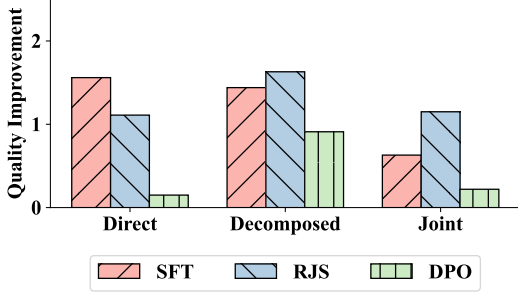


Figure 5: Changes of combined quality after applying over-generation and reranking to Llama-3.1-8B pipelines on SCIFI. For each test sample, four outputs are generated and reranked. All systems benefit from inference-time compute, though the improvement is not as significant as fine-tuning.

Fine-tuning	ASQA		ELI5	
	Cont.	Cit.	Cont.	Cit.
ICL	42.14	19.78	14.57	16.98
SFT	35.84	35.63	11.89	20.89
RS	36.65	49.91	12.06	31.23
DPO	39.43	62.00	13.86	51.26

Table 3: Performance of direction generation that is based on Llama-3.1-8B and fine-tuned on SCIFI and tested on the ASQA and ELI5 subsets of ALCE. Systems optimized with DPO again achieves the best citation quality, and the trend of improvement in citation quality over the in-context learning baseline is similar to the one on SCIFI. However, compared to in-context learning, the content quality would drop.

5.3 Effectiveness of Inference-Time Compute

We apply the over-generation and reranking technique on top of verifiable generation systems that are based on Llama-3.1-8B. During over-generation, we sample from each system with 4 different random seeds. For decomposed generation, we use the same random seed for the content generation model and the citation generation model. As shown in Figure 5, the scoring and reranking technique can **consistently enhance the quality of the final output for all systems**. Compared to systems fine-tuned with other methods, systems fine-tuned with DPO observe smaller improvement after reranking. Considering the cost of over-generating outputs and training the reranking model, employing inference-time compute methods **might not be cost-effective for the top models**.

5.4 Generalizability

Finally, we evaluate the generalizability of direct generation that are based on Llama-3.1-8B. The strong citation quality of systems fine-tuned with DPO well generalizes to test samples that do not come from the dataset used for model training. Overall, the trend in citation quality remains consistent with the results on SCIFI, suggesting that **the citation capability acquired through fine-tuning are robust across datasets**. However, fine-tuning on out-of-domain data can lead to a decline in content quality when applied to in-domain data, as observed on both ASQA and ELI5. We believe this is due to the variation of focus of output content across different domains.

6 Conclusions

We conduct an analysis of design choices in the development of verifiable generation systems, including generation pipelines and optimization methods. Three generation pipelines are investigated: direct generation that outputs responses with citations in one pass; decomposed generation that connects a content generator with a citation generator to produce outputs in two steps; joint generation that combines the aforementioned pipelines. We conduct supervised fine-tuning for these generation pipelines and additionally apply preference-based optimization including further supervised fine-tuning with rejection sampling data and direct preference optimization (DPO). Moreover, we examine the effect of content and citation diversity on fine-tuned model performance. Besides training-time techniques, we also study an inference-time technique—over-generation and reranking. Our experiments find that (1) direct generation yields the best overall quality; (2) DPO is the best fine-tuning method; (3) maintaining sufficient content diversity is crucial for preference-based optimization; (3) reranking of over-generated samples can benefit all verifiable generation systems but cost-effectiveness might be low; (4) LLMs’ ability to cite supporting sources is robust across datasets. We hope our findings can guide further development of verifiable generation systems with open-source LLMs.

Acknowledgments

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Science Ph.D. Fellowship. We thank ARR reviewers for their feedback.

7 Limitations and Potential Risks

Limitations. Our work conducts a wide range of experiments, but there remain design choices that are not investigated, due to the complexity of verifiable generation systems. For example, the process of handling the pool of candidate cited sources could benefit from more sophisticated strategies, which might include multi-turn processing of cited sources or the construction of dense representations.

The datasets employed in our experiments provide a fixed set of candidate sources with well-formatted content. However, in real-world scenarios, candidate sources are dynamically retrieved from online search engines. The use of online search engines can introduce a greater diversity of candidate sources, resulting in domain and style shifts that could impact model behavior and task performance unpredictably.

Potential Risks. Echoing the limitations mentioned, our results are based on a pool of trustworthy sources, such as Wikipedia articles. However, when verifiable generation systems are deployed in practical settings, they may encounter sources with varying degrees of reliability. This creates a risk of propagating misinformation if the system inadvertently relies on less credible sources. Furthermore, dynamically retrieved data could include biased or malicious content, potentially leading to harmful consequences. Therefore, our study reveals best practices of verifiable generation systems in controlled conditions, the robustness of them in uncontrolled environments requires further investigation. Developers should equip their systems with additional content filters to ensure healthy outputs.

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A Evaluation Metrics

Citation Quality. Given an output statement s_i and its corresponding cited document d_{s_i} , we use a T5-based NLI model² to calculate the score of

²https://huggingface.co/google/t5_xxl_truenli_mixture

how d_{s_i} support s_i as the citation quality measure. We take the probability of the NLI model predicting “entail” as the score. As the length of d_{s_i} might exceed the maximum input length of the NLI model and the NLI model is trained with shorter sequences, following (Kamoi et al., 2023), we split the document into chunks of 256 tokens $\{d_{s_i}^1, \dots, d_{s_i}^M\}$ and take the maximum entailment score between s_i and chunks of d_{s_i} as the entailment score between s_i and d_{s_i} :

$$u_{cit}(s_i) = \max_{1 \leq m \leq M} ent(s_i, d_{s_i}^m) \quad (1)$$

where $u_{cit}(s_i)$ denotes citation quality score of s_i . The citation quality score of a system output is then computed by averaging $u_{cit}(s_i)$ for all statements in the output.

Content Quality. We calculate the precision of system generated statements as $\frac{1}{N} \sum_i ent(s_i, \hat{y})$, where \hat{y} is the reference output and N is the total number of statements in the system output. Similarly, the recall of reference statement is calculated as $\frac{1}{\hat{N}} ent(\hat{s}_i, y)$, where y is the system output, \hat{s}_i is a reference statement, and \hat{N} is the total number of statements in the reference output. We take the harmonic mean of the precision and recall as the content quality of a system output. The entailment is calculated between a statement and a full text output following (Gao et al., 2023b).

Combined Quality. The combined quality is similar to the content quality, except that we change the precision calculation to $\frac{1}{N} \sum_i ent(s_i, \hat{y}) \times u_{cit}(s_i)$.

Citation Mapping. To determine the cited document for each statement given the raw system output, we use the assignment rule as in (Cao and Wang, 2024). After decomposing the system output into individual statements, each statement is mapped back to a segment in the original system output by prompting a Llama-3.1-8B model with in-context examples adapted from (Min et al., 2023; Kamoi et al., 2023). For an output statement, the generated citation that is closest to the end of its corresponding segment is taken as its cited source.

B Additional Results

Fine-tuning Strategies. In Table 4 and 5, we provide detailed results of generation pipelines paired with different fine-tuning strategies. Using DPO achieves the best performance across different pipelines.

Pipeline	Fine-tuning	Content	Citation	Combined
Llama-3.1-8B				
Direct	ICL	16.58	32.63	8.05
	SFT	19.60	36.99	13.63
	RJS	22.23	45.90	16.31
	DPO	21.80	71.82	18.56
Decomposed	SFT	19.71	35.22	13.35
	RJS	21.77	41.61	15.13
	DPO	19.12	52.79	15.03
Joint	SFT	19.21	35.53	12.83
	RJS	22.23	45.49	16.31
	DPO	21.07	64.59	16.60
Mistral-Nemo (12B)				
Direct	ICL	19.37	31.64	8.86
	SFT	21.05	36.55	14.30
	RJS	21.46	47.45	15.90
	DPO	23.08	72.02	19.25
Decomposed	SFT	20.92	36.15	14.06
	RJS	22.02	43.25	15.50
	DPO	22.86	60.06	18.20
Joint	SFT	20.48	35.28	13.56
	RJS	21.66	46.05	15.95
	DPO	22.75	61.49	17.81
Qwen-2.5-7B				
Direct	ICL	15.68	17.78	4.03
	SFT	17.24	35.64	12.06
	RJS	19.65	45.81	14.69
	DPO	21.04	57.69	15.13
Decomposed	SFT	17.20	33.86	11.40
	RJS	19.34	41.25	13.59
	DPO	21.64	42.55	14.91
Joint	SFT	16.82	35.59	11.59
	RJS	19.22	44.61	14.18
	DPO	20.58	32.94	8.32

Table 4: Performance of generation pipelines fine-tuned with different methods on SciFi. ICL: in-context learning; SFT: vanilla supervised fine-tuning; RJS: supervised with rejection sampling data. For each metric and pipeline, the best fine-tuning method is **bolded**.

Older Models. We report results based on different Llama models in Table 6. The latest Llama model obtains significantly better performance than its older generations, suggesting the increased emphasis of verifiability during model pre-training and alignment. We also observe a decrease in the effectiveness of joint generation, which might be due to the increase number of pre-training samples that contain citations.

Pipeline	Fine-tuning	Content	Citation	Combined
Phi-3.5-Mini (4B)				
Direct	ICL	5.43	2.90	0.83
	SFT	14.82	33.39	9.81
	RJS	16.59	43.27	12.07
	DPO	18.48	49.70	13.02
Decomposed	SFT	14.60	32.28	9.60
	RJS	17.00	37.04	11.32
	DPO	16.52	41.96	11.83
Joint	SFT	14.50	31.30	9.07
	RJS	16.93	41.61	12.12
	DPO	17.97	45.32	13.58

Table 5: Continuation of Table 4.

Pipeline	Content	Citation	Combined
Llama-2-7B			
Direct	13.98	23.48	6.79
Decomposed	13.23	30.17	9.68
Joint	13.87	36.71	10.49
Llama-3-8B			
Direct	17.58	41.82	13.21
Decomposed	16.51	37.65	12.39
Joint	17.04	43.56	13.42
Llama-3.1-8B			
Direct	21.80	71.82	18.56
Decomposed	21.77	41.61	15.13
Joint	21.07	64.59	16.60

Table 6: Performance of different generation pipelines on SciFi, based on Llama models of various generations. For each metric, the best result for each backbone LLMs is **bolded**.

C Implementations

C.1 Datasets

We obtain the SciFi dataset³ and the ALCE dataset⁴ from their authors’ official releases. They are with CC-BY-4.0 and MIT licenses, respectively.

C.2 Models

All the backbone LLMs are retrieved from the Huggingface Hub:

- Llama-3.1-7B: <https://huggingface.co/meta-llama/Llama-3.1-8B-Instruct>
- Mistral-Nemo: <https://huggingface.co/mistralai/Mistral-Nemo-Instruct-2407>
- Phi-3.5-Mini: <https://huggingface.co/microsoft/Phi-3.5-mini-instruct>

³https://shuyangcao.github.io/projects/subsentence_citation/

⁴<https://github.com/princeton-nlp/ALCE>

- Qwen-2.5-7B: <https://huggingface.co/Qwen/Qwen2.5-7B-Instruct>

C.3 Training

We use LLaMA-Factory ([Zheng et al., 2024](#)) for the implementations of model trainers including the DPO optimization algorithm.

C.4 Usage of Ali Assistant

We use Copilot for implementation of experiment code and analysis code. ChatGPT is used for refining the grammar and fixing typo during writing.

C.5 Prompt Templates

The instructions and prompts we use for each generation pipeline are shown in Table [7–10](#).

Instruction: Write an accurate, engaging, and concise answer for the given question using only the provided search results (some of which might be irrelevant) and cite them properly. You are provided summaries of the search results, rather than the original search results. Use an unbiased and journalistic tone. Always cite after the completion of each individual fact in the answer. Facts might be completed in the middle of a sentence.

Question: {query}

Document [1] (Title: {document1_title})
{document1_text}

...

Document [N] (Title: {documentN_title})
{documentN_text}

Answer: {output_with_citation}

Table 7: Instruction and prompt for intrinsic generation.

Instruction: Write an accurate, engaging, and concise answer for the given question using only the provided search results (some of which might be irrelevant). You are provided summaries of the search results, rather than the original search results. Use an unbiased and journalistic tone.

Question: {query}

Document [1] (Title: {document1_title})
{document1_text}

...

Document [N] (Title: {documentN_title})
{documentN_text}

Answer: {content_generation_output}

Table 8: Instruction and prompt for content generation in modular generation.

Instruction: Support facts in the given statement by citing the provided search results (some of which might be irrelevant). You are provided summaries of the search results, rather than the original search results. Cite after the completion of each individual fact in the answer. Facts might be completed in the middle of a sentence.

Question: {query}

Document [1] (Title: {document1_title})
{document1_text}

...

Document [N] (Title: {documentN_title})
{documentN_text}

Statement: {content_generation_output}

Statement with Citations: {output_with_citation}

Table 9: Instruction and prompt for citation generation in modular generation.

Instruction: Write an accurate, engaging, and concise answer for the given question using only the provided search results (some of which might be irrelevant) and cite them properly. You are provided summaries of the search results, rather than the original search results. Use an unbiased and journalistic tone. Always cite after the completion of each individual fact in the answer. Facts might be completed in the middle of a sentence.

Question: {query}

Document [1] (Title: {document1_title})
{document1_text}

...

Document [N] (Title: {documentN_title})
{documentN_text}

Answer: {output_without_citation} | Answer with Citations: {output_with_citation}

Table 10: Instruction and prompt for intrinsic-modular generation.